

Theorem (Brjuno, Yoccoz) $\Leftrightarrow \mathbb{R} \setminus \mathbb{Q}$. ($\mathcal{L} = \mathcal{B}$). (6.46)

Then $\forall f: (\mathbb{C}_0) \ni, f'(0) = e^{2\pi i \alpha}$ is (analytically) linearizable if and only if α satisfies the Brjuno condition.

(\Leftarrow Brjuno, by studying the growth of $|\Psi_n|$, coefficients of Ψ so that $f \circ \Psi(z) = \Psi(\lambda z)$. $\lambda = e^{2\pi i \alpha}$)

\Rightarrow Yoccoz, by showing that if the Brjuno condition, then $\lambda z + \beta^2$ is not lin.)

We will not prove this result, but we see how Diophantine conditions play their role showing that $\mathcal{D}(z) \subseteq \mathcal{L}$.

Theorem (Herman): $\mathcal{D}(z) \subseteq \mathcal{L}$: If $z \in \mathcal{D}(z)$; and $\lambda = e^{2\pi i \alpha}$. Then any map $f(z) = \lambda z(1 + o(1))$ is linearizable; $\exists! \Psi(z) = z(1 + o(1))$ so that $f \circ \Psi(z) = \Psi(\lambda z)$. (*)

Rem: this is the inverse of the conjugacy considered until now. For some reasons, it works better this way. Also for the proof of Brjuno theorem.

Proof: by differentiating and taking the logarithm of (*), we get:

$$\log f'(\Psi(z)) + \log \Psi'(z) = \log \lambda + \log \Psi'(\lambda z), \text{ or equivalently:}$$

$$\log \Psi'(\lambda z) - \log \Psi'(z) = \log \frac{f'(\Psi(z))}{\lambda}.$$

The idea is to decompose the problem considering the three transformations:

$$F_1(\psi_0)(z) := \log \frac{f'(\psi_0(z))}{\lambda} =: \psi_1(z)$$

$$F_2(\psi_1)(z) := \psi_2(z), \text{ where } \psi_2 \text{ is the unique solution of } \psi_2(\lambda z) - \psi_2(z) = \psi_1(z).$$

$$F_3(\psi_2)(z) := \int_0^z e^{\psi_2(u)} du. =: \psi_3,$$

We look for a $\varphi = \psi_0 \circ \psi_3$, i.e., for a fixed point of $F_3 \circ F_2 \circ F_1$.

We must find appropriate function spaces where this transformation are well defined. For $g(z) = \sum_{n=1}^{\infty} a_n z^n \in C[[z]]$, define

$$\|g\|_0 = \sum_{n=1}^{\infty} |a_n|, \quad \|g\|_1 = \sum_{n=1}^{\infty} n |a_n|$$

We set $H_\alpha = \{f: \mathbb{D} \rightarrow \mathbb{C}, f(0)=0, \|f\|_\alpha < +\infty\}$. $\alpha = 0, 1$.

Notice that $H_1 \subset H_0$.

H_α are bounded spaces, and the convergence in H_2 ~~implies~~ uniform convergence on \mathbb{D} . $\forall z, |z| \leq R < 1$

May consider $g \rightarrow 0$: then $|g(z)| \leq \sum |a_n| R^n \leq R \cdot \sum |a_n| \Rightarrow \|g\|_0 = R \cdot \|g\|_0$

Prop: H_0 is a Banach algebra: $\|fg\|_0 \leq \|f\|_0 \|g\|_0$

H_1 is almost a Banach algebra: $\|fg\|_1 \leq 2 \|f\|_1 \|g\|_1, \|fg\|_2 \leq 2^\alpha \|f\|_0 \|g\|_0$

Proof: $f = \sum a_n z^n, g = \sum b_n z^n \Rightarrow fg = \sum \left(\sum_{j=1}^{n-1} a_j b_{n-j} \right) z^n$

$$\Rightarrow \|fg\|_0 = \sum \left| \sum_{j=1}^{n-1} a_j b_{n-j} \right| \leq \sum_n \sum_{j=1}^{n-1} |a_j| |b_{n-j}| = \left(\sum |a_n| \right) \left(\sum |b_n| \right) = \|f\|_0 \|g\|_0$$

$$\|fg\|_1 = \sum n \left| \sum_{j=1}^{n-1} a_j b_{n-j} \right| \leq \sum_n \sum |a_j| |b_{n-j}|$$

$$\|fg\|_1 = \sum_n \sum_{j=1}^{n-1} j(n-j) |a_j| |b_{n-j}| \quad \text{notice that } V_j = i - n + 1, : n \geq 2 \\ n \leq 2j(n-j), (n \leq 2n-2 \leq 2j(n-j))$$

It follows that: if $h: (\mathbb{C}, \circ) \rightarrow (\mathbb{C}, \circ)$ is a convergent power series with radius of convergence R , then $g \mapsto h \circ g$ is well defined

as a map on $B_{H_0}(0, R)$ and on $B_{H_1}(0, \frac{R}{2})$ on $B_{H_2}(0, \frac{R}{2^\alpha})$

Proof: $h \circ g$ is well defined on \mathbb{D} as for as $g(\mathbb{D}) \subseteq B(0, R)$

$|g(z)| \leq \sum |a_n| z^n < \sum |a_n| = \|g\|_0 < \|g\|_1$. Hence $h \circ g$ is well defined on \mathbb{D} as $\|g\|_0 \leq R$.

If $h = \sum h_n z^n$, then:

$$\|h \circ g\|_2 \leq \sum \|h_n\|_2 \|g^n\|_2 \leq \sum \|h_n\|_2 \cdot 2^{n^2} \|g\|_2^n, \text{ which converges}$$

$$\text{as far as } 2^{\alpha} \|g\|_2 \leq R$$

□

$$\text{Let now } U = \left\{ \Psi \in H_1 : \Psi'(z) = 1, \|\Psi - \text{id}\|_0 \leq 1 \right\}$$

We want to prove that $F_3 \circ F_2 \circ F_1 : U \rightarrow U$. To do so, we may need to change coordinates. Clearly, if f has a big radius of convergence, we have

$$f_m(z) = \frac{P(mz)}{m} = \lambda z + m_2 z^2 + m^2 a_3 z^3 + \dots$$

$$F_{1,m}(\Psi) = \log \left(\frac{\Psi'(z)}{z} \right)$$

Prop: For $m \ll 1$, $F_{1,m}$ is defined on U , and sends U to an arbitrary small neighborhood of 0 in H_2 .

Proof: $F_{1,m}$ is defined on U as far as the radius of convergence of f_m is ≥ 2 . (By the previous prop.).

Moreover for $m \rightarrow 0$, $\|f_m\|_0 \rightarrow 0$, and $\|F_{1,m}(\Psi)\|_1 \rightarrow 0$.

(From the fact that $\|h \circ g\|_2 \leq \|h\|_0$ or far as $\|g\|_1 < \frac{1}{2}$) □

We now deal with F_2

Prop: Let $g \in H_1$, and $\lambda = e^{\frac{2\pi i \alpha}{d}}$, $\alpha \in D(2)$. There exists a unique solution $h \in H_0$ to the equation:

$$h(\lambda z) - h(z) = g(z) \quad \text{for a unique solution } h \in H_0, \text{ and } \|h\|_0 \leq C \|g\|_1,$$

$$\left[\text{where } C \text{ is such that } \left| \alpha - \frac{1}{q} \right| > \frac{C}{q^2}, \text{ then } C = \frac{\pi}{2c} \right]$$

$$\text{if } \alpha \in D(d), \text{ then } \exists! h \in H_0, \|h\|_0 \leq \frac{C}{2c} \|g\|_{d+1}$$

Proof:

By developing everything in formal power series, we get:

$$\sum g_n z^n = \sum h_n \lambda^n z^n - \sum h_n z^n = \sum z^n (h_n (\lambda^n - 1))$$

$$\text{From which, } h_n = \frac{g_n}{\lambda^n - 1}$$

We now that $|e^z - 1| = |e^{2\pi i \operatorname{Im} z} - 1| \geq \frac{2}{\pi} \|\alpha\| \geq \frac{2}{\pi} \frac{c}{n} \quad (\alpha - \frac{1}{q}) > \frac{c}{q^2}$

$$\Rightarrow \|h\|_{H_0} = \sum_{n=1}^{\infty} \|h_n\| \leq \frac{\pi}{2c} \sum n \|g_n\| = \frac{\pi}{2c} \|g\|_1 \quad \square$$

Finally, we deal with F_3 .

Prop: F_3 is a continuous map $H_0 \rightarrow H_1$, sending 0 to id

Proof: $F_3(\psi) \stackrel{(1)}{=} \int_0^z e^{\psi(u)} du$.

$g \mapsto e^g - 1$ defines a map from H_0 to H_0 , ($g \mapsto e^g - 1 + 1 = e^g$, with no radius of convergence), consider $g \mapsto e^g - 1 + 1 = e^g$.

The map $g \mapsto \int_0^z g(u) du$ is a continuous linear map $H_0 \rightarrow H_1$,

which is in fact an isomorphism; since $h(z) = \sum_{n \geq 1} \frac{g_{n-1}}{n} z^n \quad (g(z) = \sum_{n \geq 0} g_n z^n)$.

Being F_3 the composition of this lin. maps, we get our statement \square .

Proof of the theorem:

For $m \ll 1$, the map $F_m = F_3 \circ F_2 \circ F_{1,m}$ maps U into itself, and in fact we may pick $m \ll 1$ so that $F_m(U) \subset U_2 \subset U$, $U_2 = \{\psi \in U \mid \|\psi - \operatorname{id}\|_b \leq c\}$.

Notice also that the map F_m is analytic (since for every step, the image depends analytically on the coefficients).

By (Ecole)-Hamilton Fixed point theorem, there exists a unique fixed point ψ for F , which is the solution of the linearization equation \square

Hamilton Fixed point theorem: V Banach space, D open connected, $P: D \rightarrow D$ analytic, $P(D)$ bounded in norm, and ~~$d(P(D), V \setminus D) > 0$~~ , then

$\exists!$ fixed point.

Idea of the proof: show that f is a contraction with respect to a distance appropriately defined (Corollary 2)

Bryn's proof consists in studying very carefully the growth of the coefficients of the linearization Ψ , using "majorant rules".

For a proof, see [Abate, Local holomorphic dynamical systems].

which allows to prove the convex ($A \otimes B \rightarrow \exists f, P'(z) = e^{2\pi i z}$, $f \neq z + \lambda z^2$), and.

Yoccoz gives a more geometric proof which also gives a more precise statement on the radius of convergence in terms of some

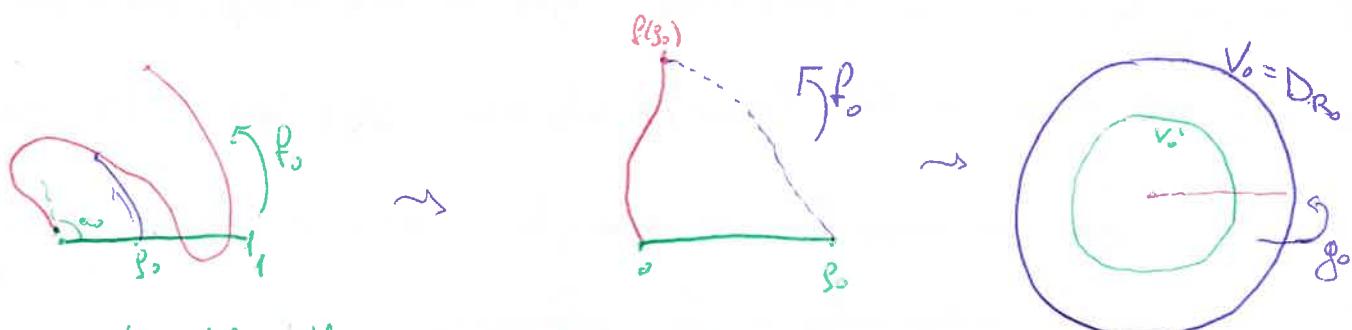
function β associated to λ ($|\log g(z) + B(z)| \leq C \beta(z) = \sum_{n=1}^{\infty} \beta_{n-1} \log \frac{1}{|z_n|}$)

One of the techniques introduced is called "Renormalization".

The idea is the following.

Let $d_{00}(0,1)$ ($\Delta_0 = \{2\}$), and $f_0 : \mathbb{D} \rightarrow \mathbb{C}$ a univalent (injective) map with $f_0'(z) = e^{2\pi i z_0}$.

The idea is to take $\beta_0 > 0$ small enough so that $f_0((0, \beta_0)) \cap (0, \beta_0) = \emptyset$.



Then take a sector V_0 between $(0, \beta_0)$ and its image by f_0 . (\leftarrow fundamental domain f_0)

By quotienting V_0 by the action of f_0 , we get $V_0' = \frac{V_0}{\langle f_0 \rangle} \sim \mathbb{D}^\circ$.

The first return map to V_0' induces a holomorphic map $g_0 : V_0' \subset V_0 \rightarrow V_0$

We may renormalize V_0 so that $V_0' \supset \mathbb{D}^\circ$. g_0 extends to a map $\overset{\text{univalent}}{g_0} : \mathbb{D} \rightarrow \mathbb{C}$

Note that a rotation of angle α_0 on V_0' correspond to a rotation of angle λ on V_0 .

It follows that $g_0'(z) = e^{-2\pi i \alpha_0}$, where $\alpha_0 = \left\{ \frac{1}{20} \right\} (-\lambda_0 + \left\{ \frac{1}{20} \right\})$

Set $P_1(f_0) = \overline{g_0(\bar{z})}$, which has multiplicity λ_0 .

~~Theorem~~ We can now reiterate its procedure, and construct a sequence of renormalized maps P_n of multipliers λ_n .

The estimate of the radius of the Siegel disc depends on very precise estimates of the numbers ρ_n . [Buff-Hubbard for further details].

Once achieved the Bejancu characterization of linearizability, a natural question is to understand what happens when $\lambda \notin B$ and f is not linearizable.

Using techniques similar to Yoccoz's renormalization, Pérez Marco obtained the following result:

Def: $\lambda \in \{0, 1\}$ satisfies the Pérez-Marcos condition if $\sum_{n=1}^{\infty} \frac{\log \log q_n}{q_n} < +\infty$

($\Leftrightarrow \sum_{n=1}^{\infty} P_{n-1} \cdot \log \log \frac{e}{q_n} < +\infty$) Notice: $B \subset \text{PM} = \{\text{Pérez-Marcos numbers}\}$.

~~Theorem (Pérez Marco)~~: Pérez-Marcos condition ensures rationals approximate λ not too fast (but maybe faster than B).

Theorem (Pérez-Marcos): Let $\lambda \in \text{PM}$, $f_1(\zeta, \lambda) \neq 0$ (so $f'(\lambda) = e^{2\pi i \alpha}$). Then

Either: f is linearizable, or

- f has small cycles

Def: $f: (C, \lambda) \rightarrow C$ has small cycles if any neighborhood of $\lambda \in C$ contains a periodic orbit for f

~~Remark~~: By modifying Pfieffer's argument, one can construct examples of irrational germs with small cycles, see [Buff-Hubbard, Theorem (5.6.1)]

If $\lambda \notin \text{PM}$: (λ is very well approximated by rationals), then ~~we may~~

- If f that is not linearizable, and does not admit small cycles

~~Remark~~: it is not known if this can happen for the germ of a rational map in \mathbb{P}^1 .

In his work, Perez-Morco also describes the structure of its stable set.

Recall that $K_f(U) = \bigcap_{n=0}^{\infty} f^{-n}(U)$. If f is linearizable, then $K_f(U)$ is a neighborhood of the origin, and there exists a continuous family of totally invariant compacta (corresponding to $\overline{D_\varepsilon}$ in the linear model).

More over, any point on K_f is recurrent (i.e., any point belongs to the adherence of its orbit: $\forall p, \forall \varepsilon > 0 \exists n \in \mathbb{N}, f^n(p) \in D(p, \varepsilon)$)

A similar situation occurs in general, but with a set K_f much more complicated.

Then (Perez-Morco) Let $p: (\mathbb{Q}, \sigma) \rightarrow$ be an irrational Cramer germ.
i.e., non dense

Then K_f is composed, connected, full (K_f is connected), not reduced

to $\{0\}$, will contain umbria, not locally connected at any point in $K_f \setminus \{0\}$

• ~~Suszko~~ in K_f is encircled by totally invariant compacta called hedgehog K_p .

• Any point of $K_f \setminus \{0\}$ is recurrent.

• There is an orbit that accumulates at the origin, but no non-trivial orbit converges to 0.

Then (Bonnans) The rotation number and the conformal class of K_f are a complete invariant of holomorphic conjugacy.

The K_p as above are called hedgehog associated to f .

Idea: $\omega \sim \frac{p_n}{q_n}$: probabilic germ $f_n = e^{2\pi i \frac{p_n}{q_n}} z + g(z)$, $f = \lambda z + g(z)$ $g(z) = o(z)$.

